## Semantic and syntactic processing in the human brain



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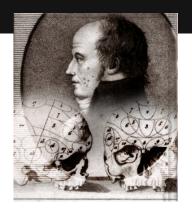
## Please do not cite without permission

The plan of this mini-course again

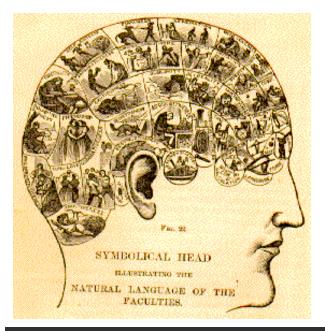
 Semantic processing in the brain: how our nervous system deals with the monotonicity of logical operators

> some logical considerations, followed by multi-modal experimental program with conclusions that might have theoretical implications to compositional semantics

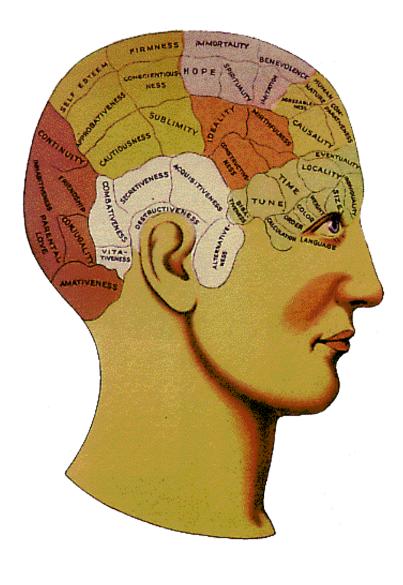
 Syntactic processing in the brain: the blessing of variability across individual brains and across languages and individuals speakers some anatomical considerations and techniques, with neurolinguistic studies of syntax that focus on variability

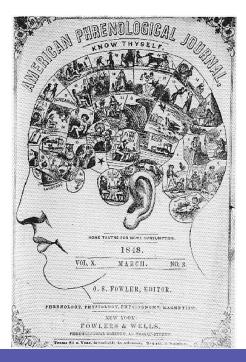


Franz Joseph Gall



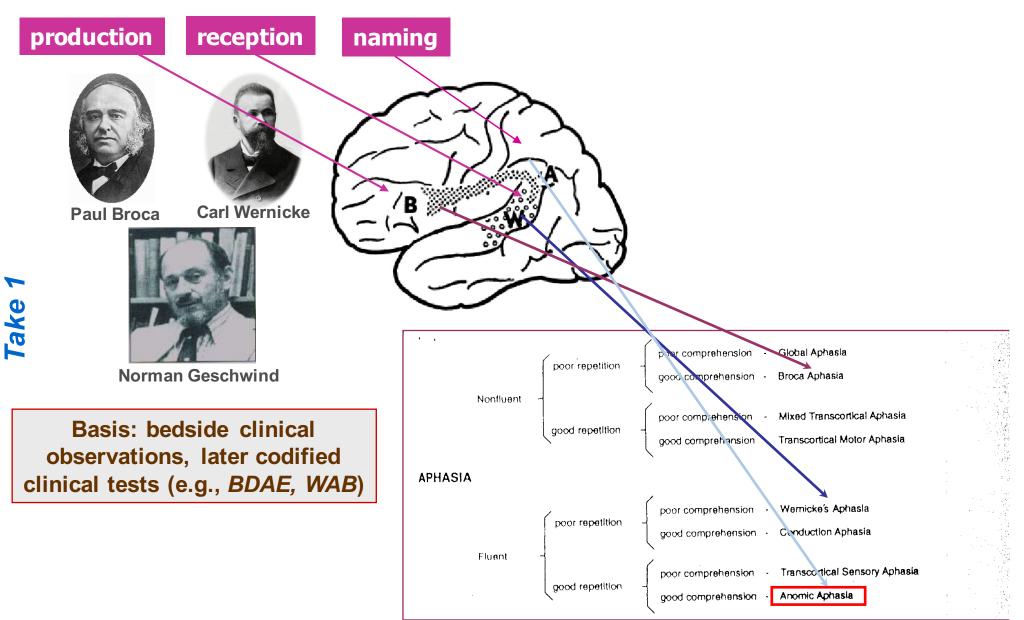
Pieces of our psychology in brain pieces: Gall's Phrenology and functional localization





Ca'Foscari, October 2016

#### *Gall's legacy: Mapping Principles and their Diagnostic Reflections*



## Is language modularized from other parts of cognition? The Modularism/Holism split in 19<sup>th</sup> century neurology



Broca

#### Broca's Leading ideas:

- -Cerebral Representation -Functional Modularity -Lateralization
- Hughlings-Jackson's Leading ideas: -To speak is to propositionize -Aphasia: loss of ability to handle symbols ("asymbolia") -Aphasia-apraxia-agnosia all have the same hierarchical structure



John Hughlings-Jackson



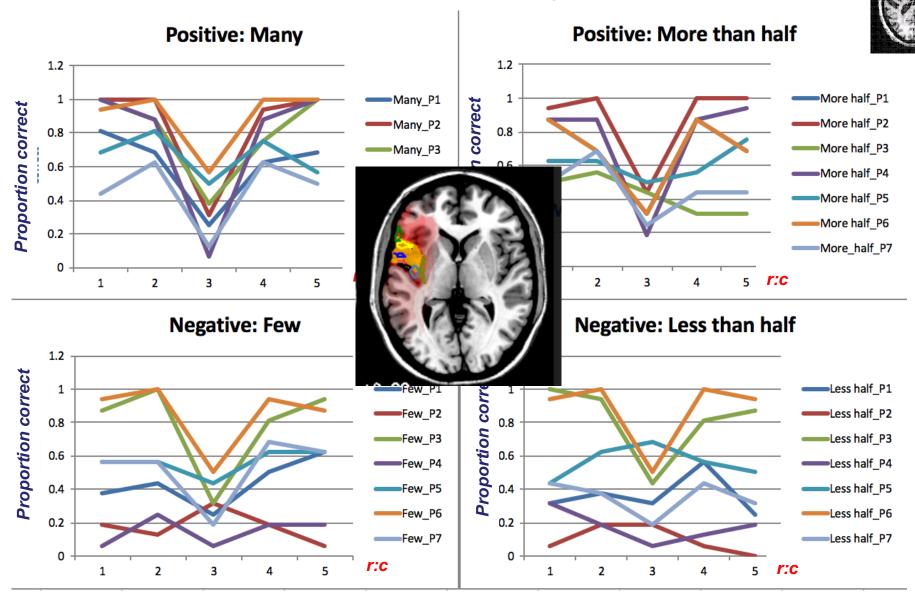
Mr. Tan's brain



#### What we did last time

- Polar quantifiers are processed in a selective manner:
  - Reaction Time studies isolate the DE (Downward Entailing) component
  - fMRI likewise localized this computational process in the left anterior insula (LaIns)
  - aphasia points to a DE deficit, and a maximal overlap lesion map points to the LaIns

#### The PPP in Broca's aphasia



Individual patients' error pattern subsequent to a lesion in Broca's region

## Syntactic processing in the brain: the blessing of variability across individual brains and across languages and individuals speakers

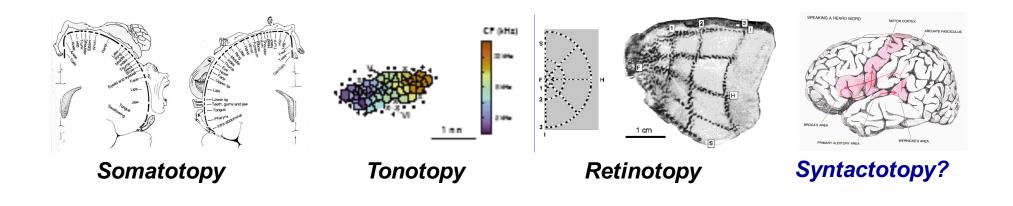
What we'll (try to) do in the next 2 classes

- Anatomical variability
  - Brains (like noses and mouths) have different morphological features
  - we'll describe a way to generalize over many brain despite their variable morphology
- Behavioral variability in health and in disease
  - aphasia points
- Structural variability
  - languages differ structurally.
- Anatomico-functional alignment

A strategy for discerning neurocognitive structure:

#### Syntacto-Topic Conjecture (STC)

- a. Major syntactic (and perhaps semantic) operations are neurologically individuated
- b. The organization of these operations in brain space is linguistically significant



#### Implication:

The functional pieces of the language map are small and linguistic **Question**:

What are the anatomical pieces of the language map?



## Phrenological beliefs and hopes in our midst:

The anatomist's:

 Anatomic modularity: the brain can be parsed into pieces with stable and identifiable borders (anatomical modules)

The linguist's:

Grammatical modularity: linguistic behavior is structured; the principles governing it can be parsed into pieces (*linguistic modules*)

The neurolinguist's:



## The localizationist research agenda:

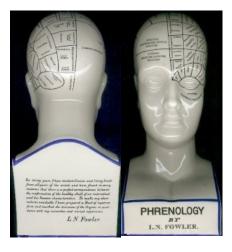
- identify the linguistic modules and anatomical borders
- Seek alignment between the linguistic and the anatomical *The final punch line:*

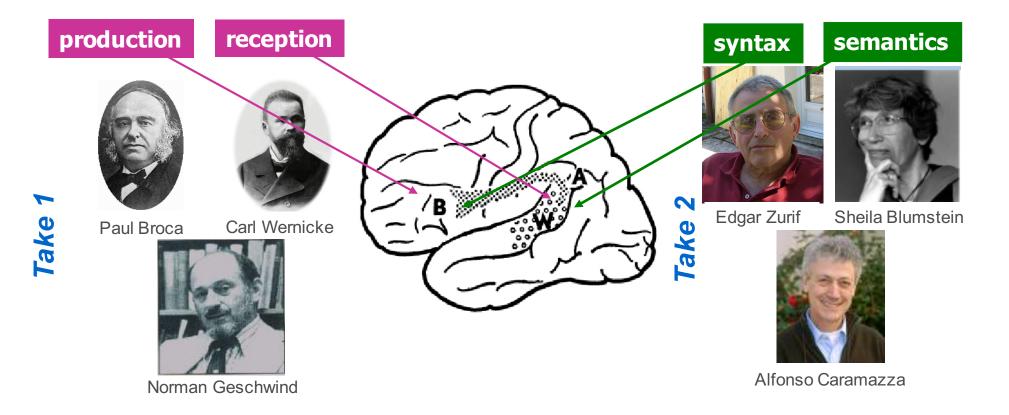
Pieces of linguistic knowledge provide the right functional resolution, aligning with cytoarchitectonic borders. We are after **syntax and semantics brain maps** 



Brodmann







*Take 1:* Bedside clinical observations, later codified in The *BDAE*, *WAB* and related clinical tests

*Take 2:* Controlled experiments in several modalities; allusion to linguistic concepts. Characteristic experiments:

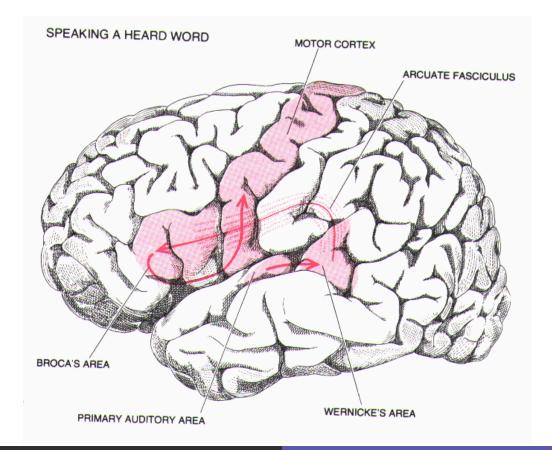
1. Vary properties of stimuli to contrast linguistic "levels" (e.g., "syntax" vs. "semantics")

2. Quantify errors, the dependent measure, allowing for objective tests

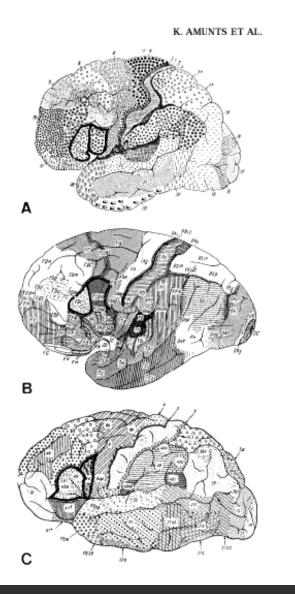
Geschwind, *Sci.*, 1970; Blumstein, 1973; Caramazza & Zurif, *Br.Lang.*,1976<u>12/66</u>

# Talk 1: how to define anatomical borders in the face of huge variability

Problem 1: how to delineate borders between parts of the brain



Problem II: how to account for individual variation



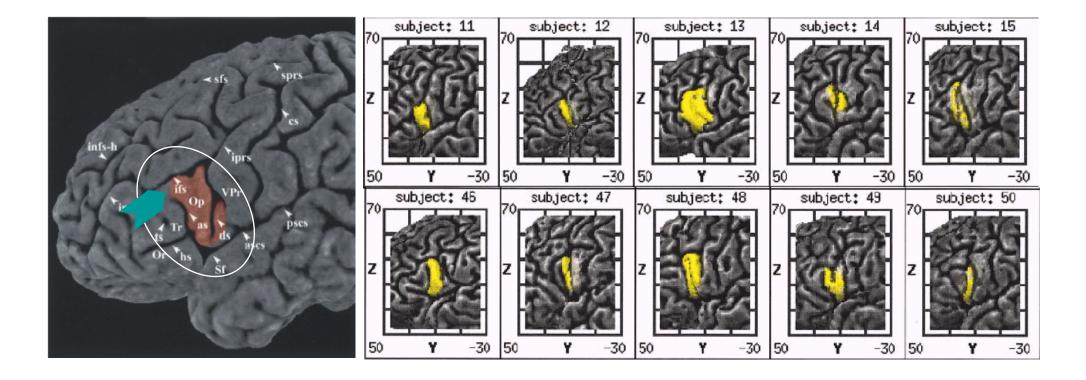
the lateral surface of the human brain

A Brodmann (1909)

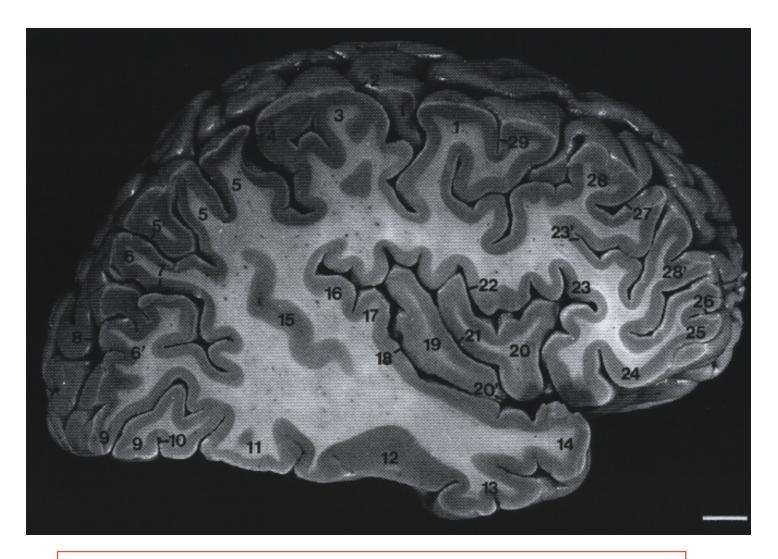
B Economo and Koskinas 1925))

C Sarkisov *et al.* (1949)

The futility of topographic borders



## Grey vs White Matter



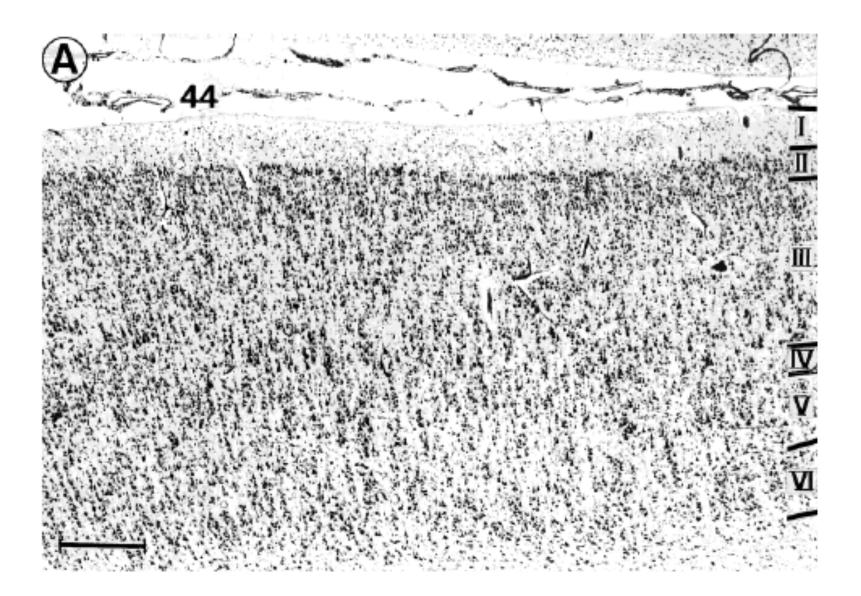
Gray matter: folded sheet containing cell bodies, dendrites. White matter: axons

## At a higher resolution: Cortical layers

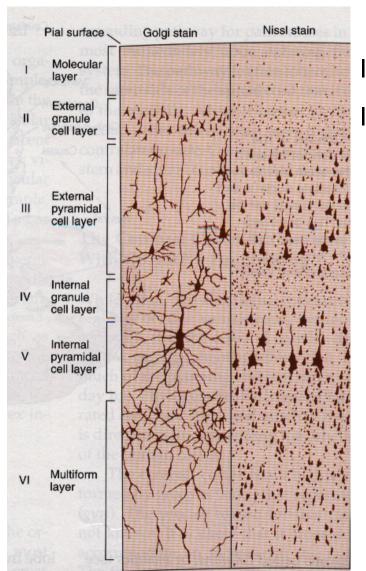


FIGURE 27.7 Horizontal section through Brodmann's (1909) area 10, which belongs to the granular part of the prefrontal cortex. The Roman numerals indicate the six isocortical layers. Bar = 3 mm.

## even higher: A Cortical Slice Stained for Cell Bodies



#### a schema: Cortical Layers



watter

I Dendrites of deeper cells

II Small granule cells

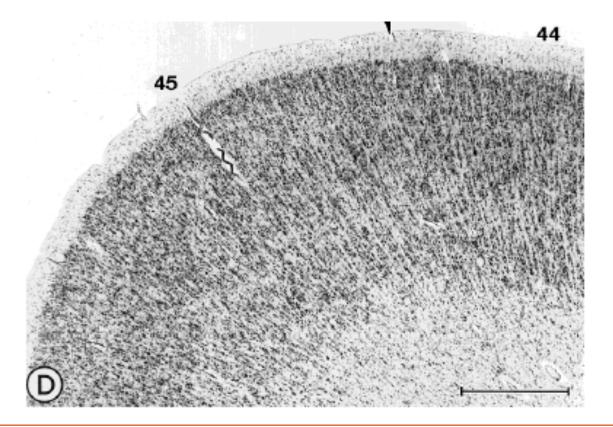
III Variety of cells, many pyramidal in shape

IV Mainly granule cells

V Pyramidally shaped cells larger than in layer III

VI Heterogeneous layer of neurons blends into white matter

## The idea of Cytoarchitectonic borders

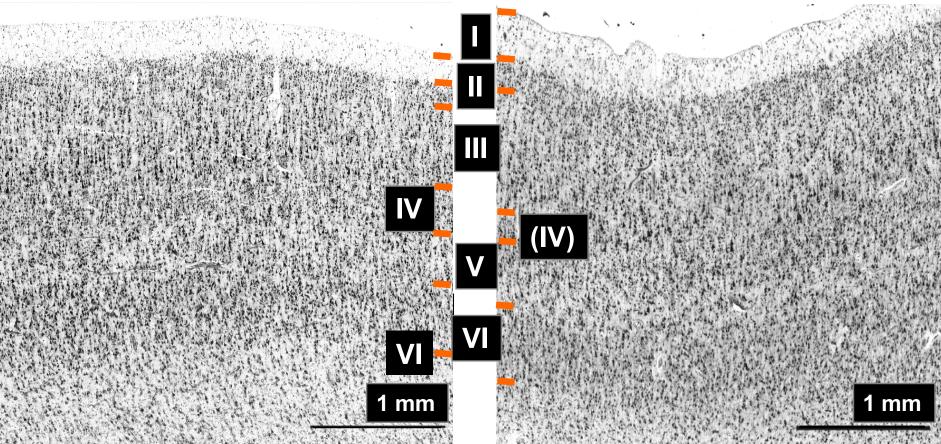


- The cell layers vary throughout Cortex
- Changes in the lamina reflect borders between cytoarchitectonic regions
- Changes in lamina may be in regards to size of layers or the layers' cell size or packing density

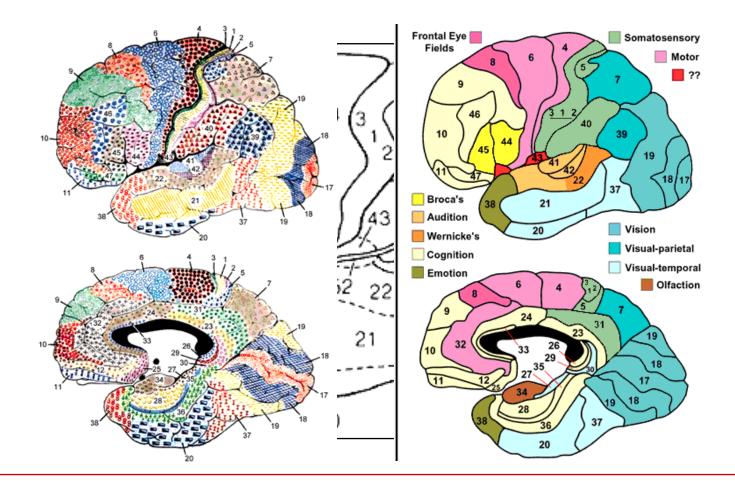
## Current Cytoarchitectonics: BA 44 & 45 stained for cell bodies (Amunts, Zilles)

**BA44** 

## **BA45**



## Cytoarchitectonic modularity: Brodmann's parcellation of cortex

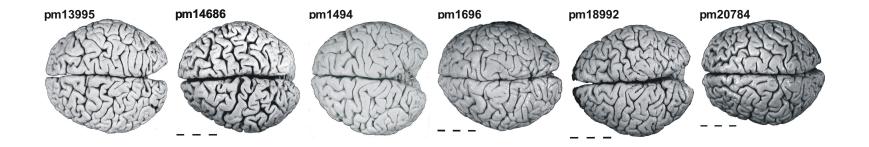


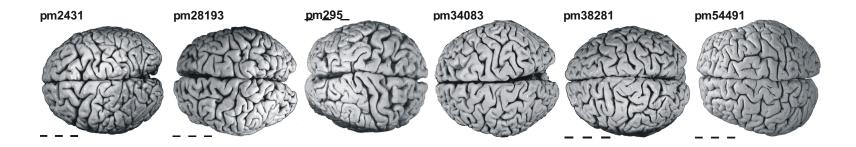
2 questions:

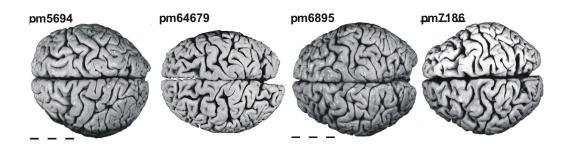
a. Is there a perfect topographic/cytoarchitectonic correspondence?

b. If not, which, if any, is the correct unit for functional analysis?

#### postmortem brains

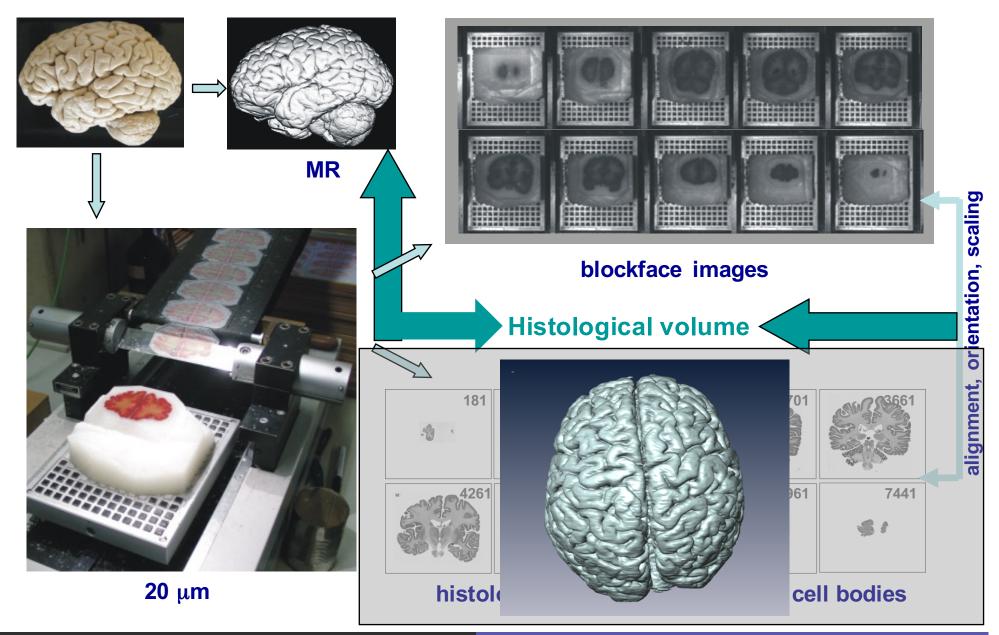




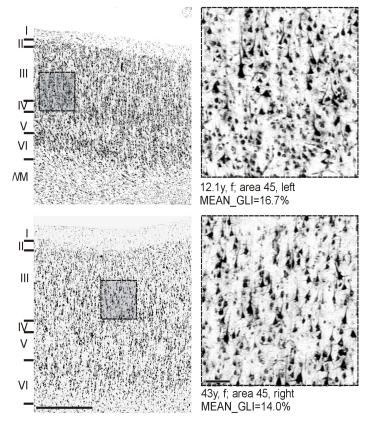


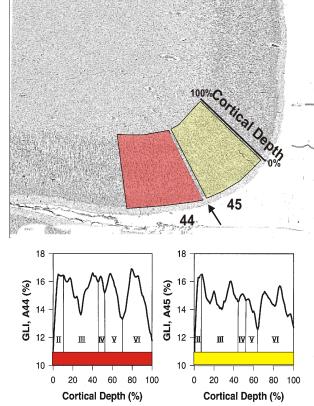
- •N=16
- •8 female, 8 male
- •fixative: formalin or Bodian
- •mean age: 64 +/- 16 y
- •postmortem delay: 12-24h

#### Histological processing



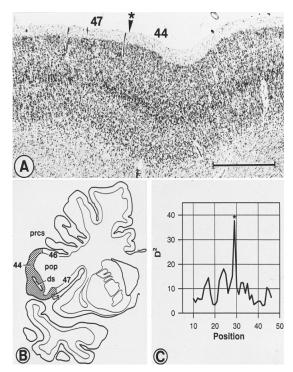
An observer-independent approach to the definition of cytoarchitectonic borders (Zilles, Schleicher, Amunts et al.)





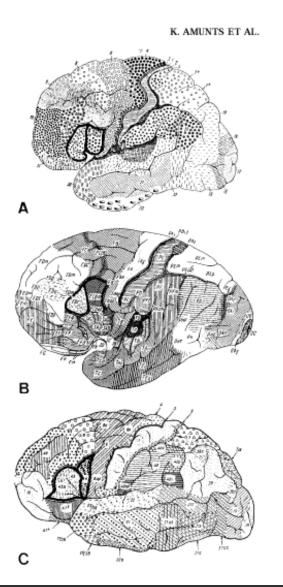
1. Gray Level Index (GLI): an estimate of the volume-fraction of cell bodies

2. Laminar cytoarchitectonic cortical patterns are analyzed via GLI profiles



3. Borders are established where abrupt changes in laminar pattern are detected

## Problem III: Cytoarchitectonic variability



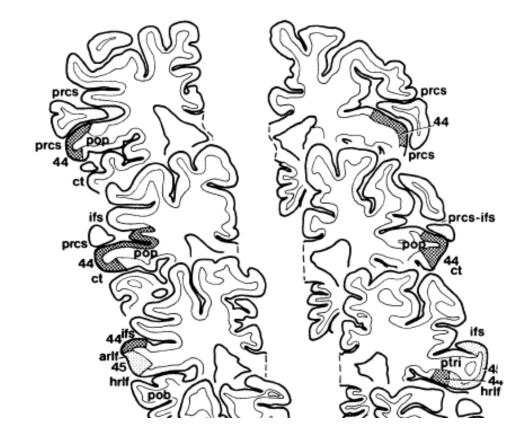
Cytoarchitectonic maps of the lateral surface of human brain

A Brodmann (1909)

B Economo and Koskinas 1925))

C Sarkisov *et al.* (1949)

## Problem IV: no correspondence – Variation in cytoarchitectonic-topographic relations



THE JOURNAL OF COMPARATIVE NEUROLOGY 412:319-341 (1999)

#### Broca's Region Revisited: Cytoarchitecture and Intersubject Variability

KATRIN AMUNTS,<sup>1\*</sup> AXEL SCHLEICHER,<sup>1</sup> ULI BÜRGEL,<sup>1</sup> HARTMUT MOHLBERG,<sup>1</sup> HARRY B.M. UYLINGS,<sup>2</sup> AND KARL ZILLES<sup>1,3</sup>

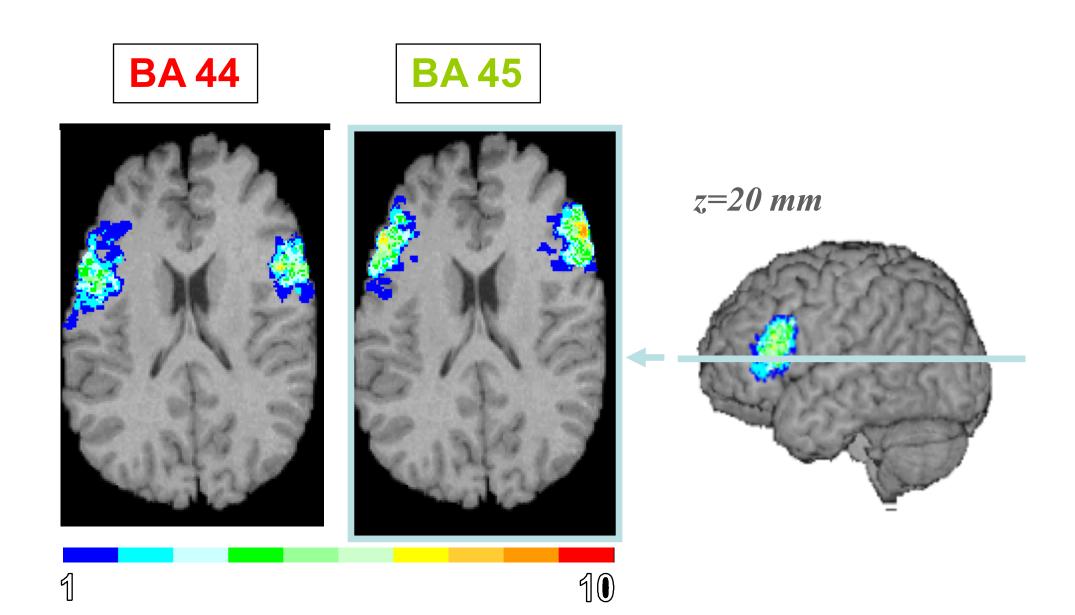
<sup>1</sup>C. and O. Vogt Institute for Brain Research, Heinrich Heine University, D-40001 Düsseldorf, Germany
<sup>2</sup>Netherlands Institute for Brain Research, 1105 AZ Amsterdam, The Netherlands <sup>3</sup>Institute of Medicine, Research Center Jülich, D-52425 Jülich, Germany

Source: Katrin Amunts, FZ Juelich

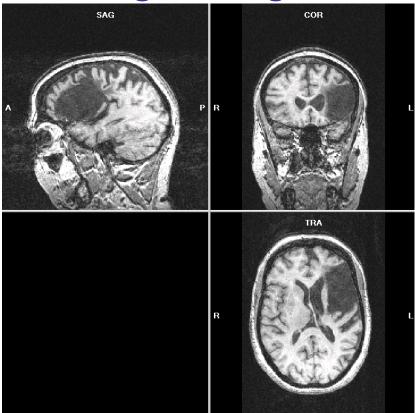
#### Variation summary:

- 1. There is considerable individual variation in the shape of topographic borders in certain brain regions.
- 2. There is considerable individual variation in the shape of cytoarchitectonic borders in certain brain regions.
- 3. The correspondence between the two border types is poor.

Amunts' cytoarchitectonic probability maps of Broca's region (n=10)



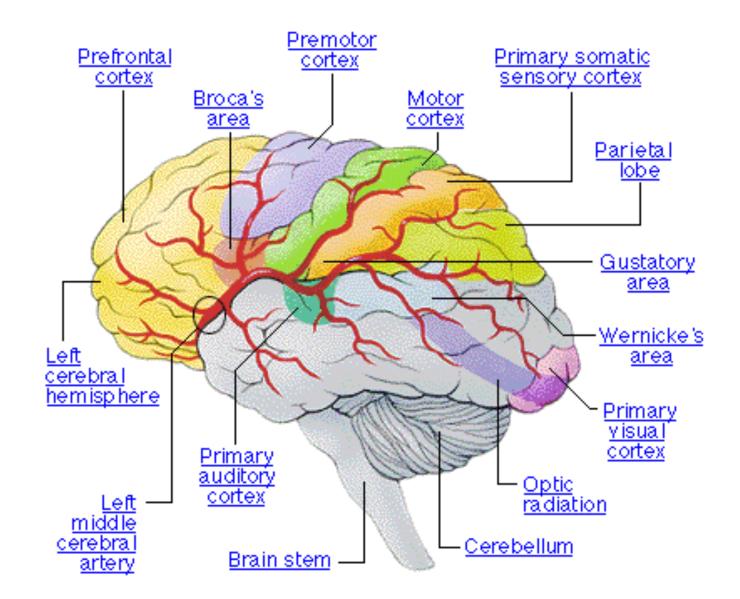
## Talk 2: reverse engineering in Broca's aphasia



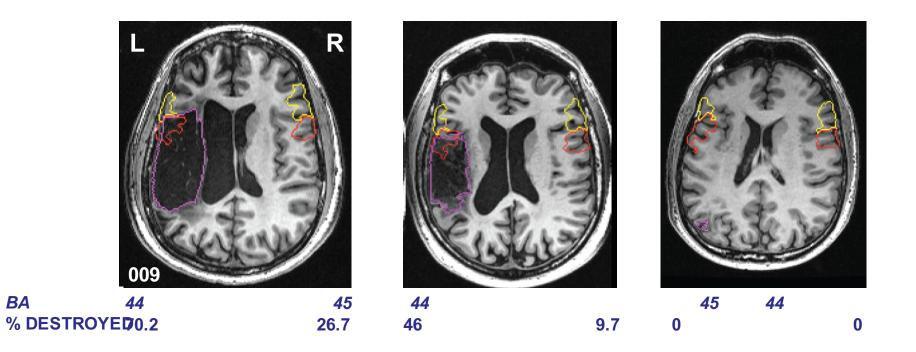
Goals: <u>a</u>. to identify linguistic neurological natural classes through patterns of impairment and sparing in aphasia; <u>b</u>. to get a preliminary glimpse at the linguistic brain map.

Logic of inquiry: Missing pieces of neural tissue that correlate with missing linguistic operations point to the *critical involvement* of these brain regions in computation, that are mapped onto these cerebral loci.

#### Schema of blood vessels in the brain



## Applications of p-maps: 2. lesion localization and quantification



**45** 

#### What we did last time

- Methods for anatomical parcellation of the human brain
  - the brain has parts, and one goal of the modular project is to ideitify them (i.e., for aligning brain pieces with pieces of language we must have a clear delineation of the brain pieces)
  - a critical step is in finding a parcellation method
  - a method based on topographic landmarks is not likely to succeed
  - a method based on histologically-determined, "cytoarchitectonic" landmarks is more likely to succeed
  - this method has to grapple with the problem of variability.
     Probabilistic maps (and atlases) help us to do. The neurolinguistic mapping project uses these atlases as anatomical localizers
- Methods for behavioral parcellation of the human brain
  - "reverse engineering" in aphasia subsequent to focal brain damage:
     "equate missing piece of brain with missing piece of cognition"

#### What I (hope to) do today

- Methods for the assessment of the receptive deficit in aphasia
  - the mapping from comprehension scores onto syntactic representation
  - the mapping from grammaticality judgment scores onto syntactic representation
  - a generalization: Trace-Deletion Hypothesis (TDH)
- Problems for the TDH
  - individual variability and tools for handling it
  - cross-linguistic variability and tool for handling it
- Corroborating evidence
  - fMRI results from movement experiments
- Possible relations between the TDH and fMRI results in health

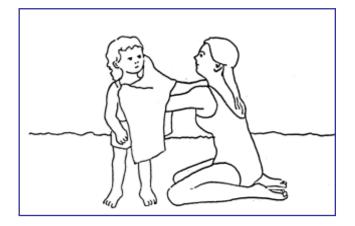
(1)

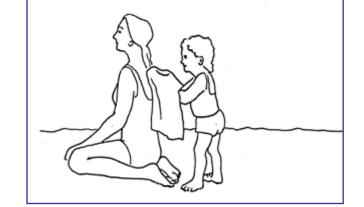
a. Semantically "Irreversible"

The ball that the boy is kicking was red

b. Semantically "Reversible"

The woman who the girl is drying is thin





#### Caramazza & Zurif's conclusion:

- •Broca's aphasics have "asyntactic comprehension."
- •As there is a syntactic problem in production, it follows that syntax
- harnessed for both productive and receptive tasks is located in Broca's area.

#### \* Food for thought: What picture-pair is given for (1a)? \*

Low

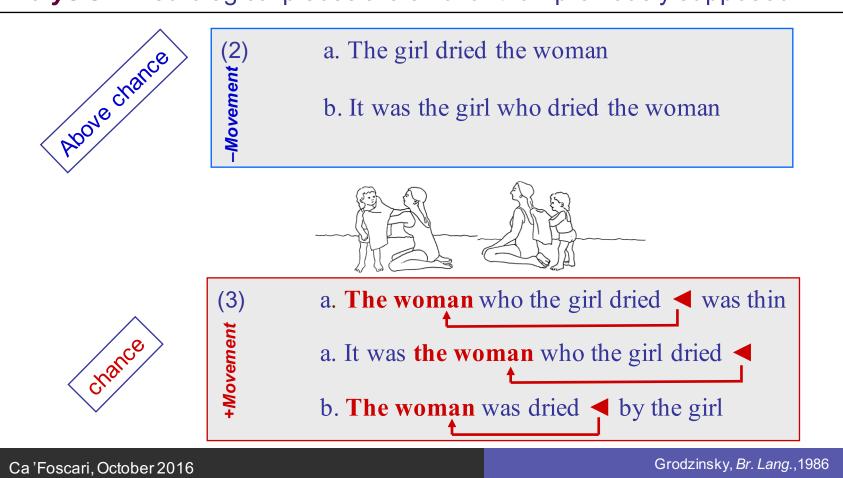
High

**Performance** 

BUTThis can't be right because the comprehension deficit doesn't<br/>encompass all syntax: when tested in <u>a binary-choice</u><br/>*θ*-assignment paradigm, patients only fails on sentences with<br/>a Movement relation.



*Alternative* The cognitive building blocks, or modules, that align with *Analysis* neurological pieces are *smaller* than previously supposed

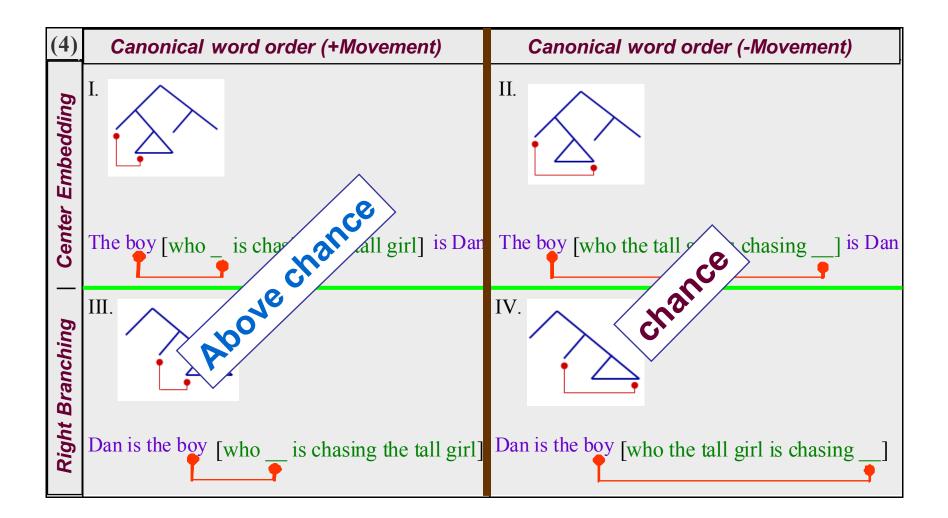


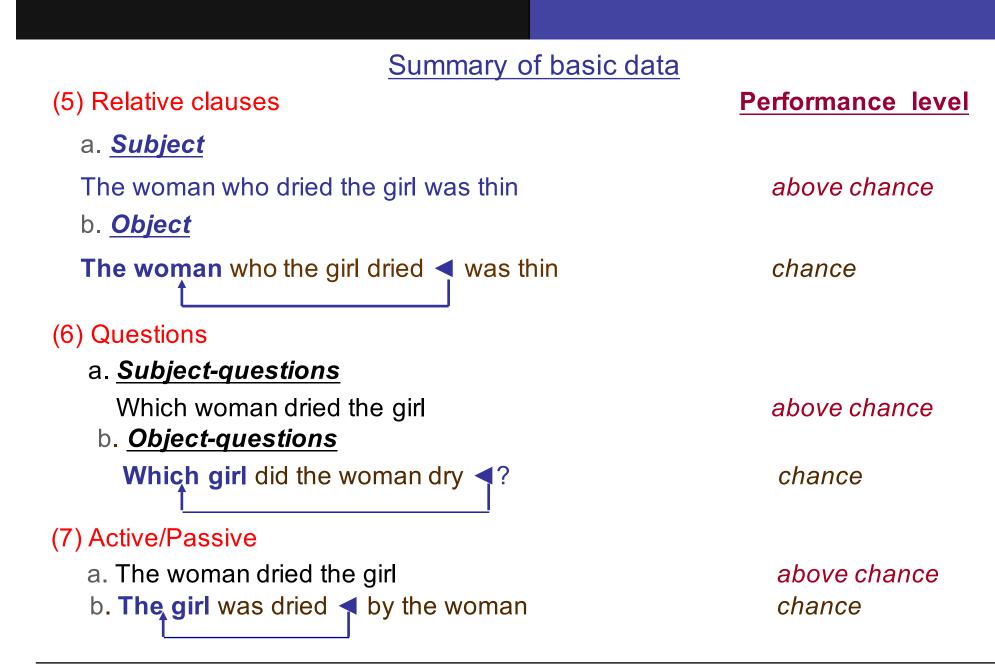
# A testing session





## Complexity





**Query** Movement seems relevant, but how can chance performance be derived?

# <u>Attempting to understand the observed pattern – Mapping Principles:</u>

## P1. Transparency

Error rates must be derived deductively.

(the mapping from representation to error-rate must be explicit)

# P2. Restricted Outcomes

The response set determines the range of discernible error types.

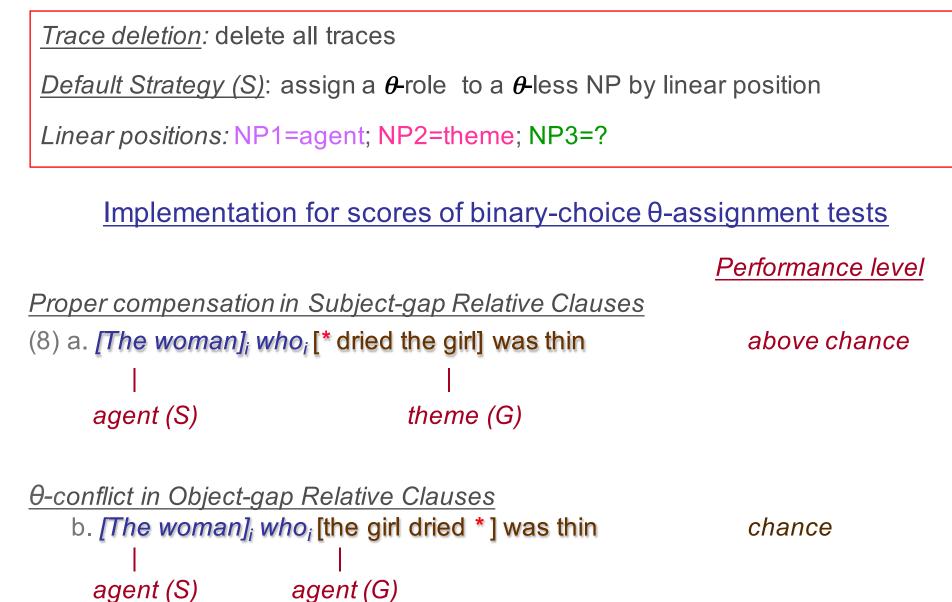
(in a binary-choice  $\theta$ -assignment paradigm, outcomes must be relativized to chance).

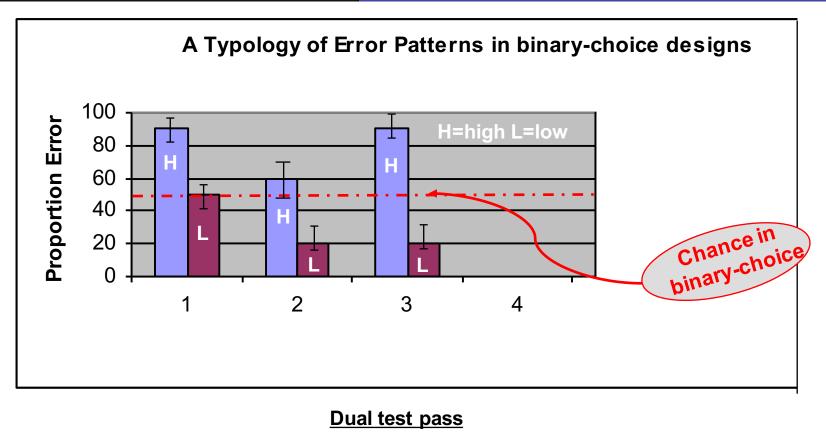
# P3. Full Interpretation Under Duress

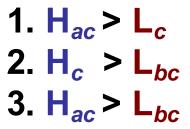
Interpretive forced-choice tasks require that all referential elements have a semantic role.

(when grammatical θ-assignment fails, a θ-less referential element acquires a semantic role via extra-grammatical means)

# TDH: trace deletion + default help deduce the pattern







Comment: Chance is relativized to the size of the response set. A binary-choice design puts chance at 50%; other designs might entail different levels.

# Consequences of the TDH:





A moved argument may be disconnected from its  $\theta$ -role



Representations are exempt from violations of movement constraints



Processing operations that depend on traces are disrupted.

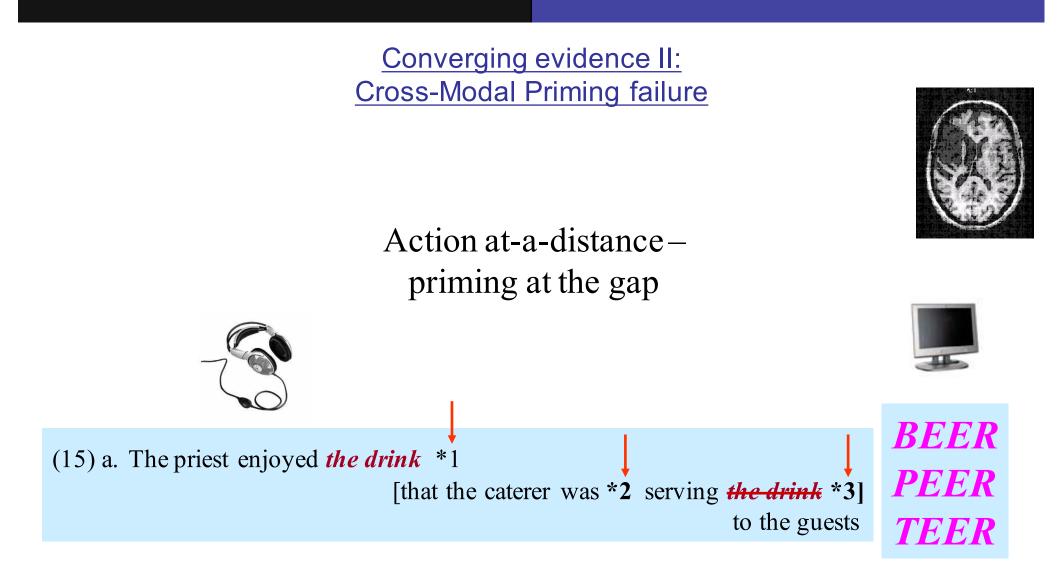


Activations in Broca's area would be monitored when traces are linked to their antecedents in the intact brain

# XP-Movement-selective impairments in grammaticality judgment

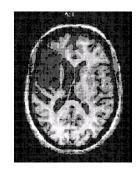


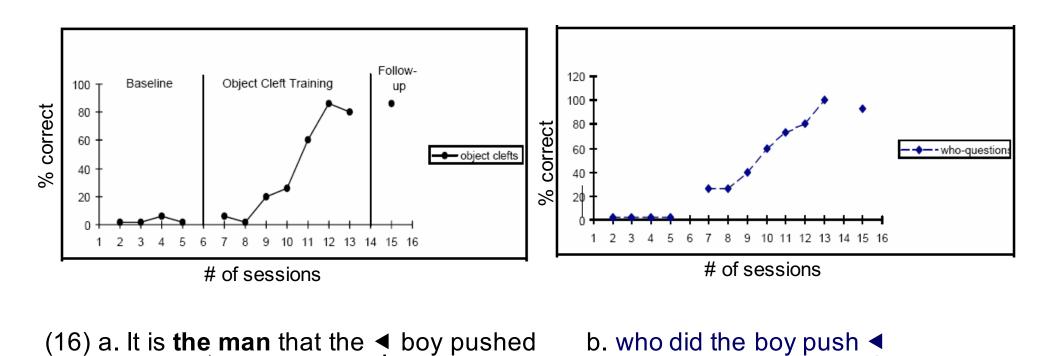
S	CONDITION	+Grammatical					-Grammatical			
Movement violations	1. Wh-movement/ that-trace	Which woman did David think that John saw? Which woman did David think saw John?					ich woma vat saw Jo			
Ň	2. Superiority	I don't k	now who	o said wh	at	*I do	n't know			
lations	3. Place of auxiliary	-		left town left town		*Hav	e they coi			
Other violations	4. Negation	John has not left the office John did not sit				*John did not have left the office *John sat not				
			YES	)	NO	)				— % error
	patient	F	<b>C</b>	R	D	F	<b>FA</b>	V	VF	Х'
<i>C0</i>	<b>NDITION</b>	+G	- <i>G</i>	+G	-G	+G	-G	+G	- <i>G</i>	
1.Wh-movement/that-t		0	88	75	25	0	100	33	38	44.9
2. Superiority		0	63	63	38	75	0	88	0	40.9
3. Place of auxiliary		0	25	4	75	2	0	21	0	15.9
<b>4.</b> 1	Vegation	0	13	6	25	0	19	38	6	13.4



In aphasia, there is priming at \*1, but at \*3 the antecedent fails to be properly primed, indicating antecedent-gap linking failure

# <u>Converging evidence III: Movement is a</u> <u>generalization in therapy for aphasic patients</u>





Can we conclude that XP-Movement is localized in Broca's area?



#### Perhaps, but there are some questions that we need to answer first:

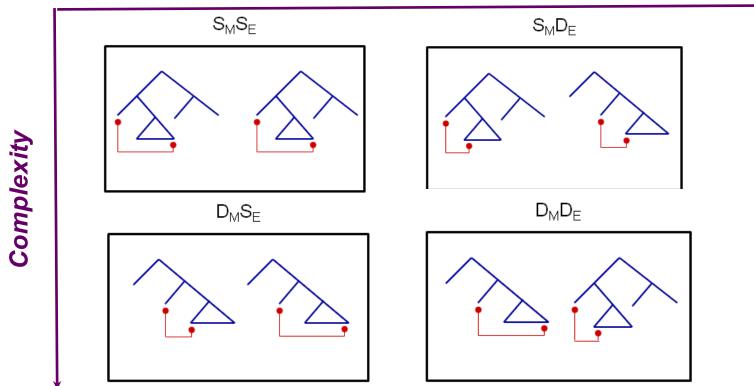
- I. How great (and significant) is **performance variation** among individual patients? It has been claimed that **variation is boundless**.
- II. How great (and significant) is **cross-linguistic variation**?
- III. How precisely can we **localize** the processes **cortically**?
- IV. Is the calculation of syntactic Movement during language comprehension neurologically **distinguishable** from other syntactic and/or cognitive operations?

**Query** If *Movement* is localized in Broca's region, where is the rest of the syntax?



Reflections of this distinction in health: Andrea Santi's fMRI adaptation study of Embedding (Complexity) vs. Movement:

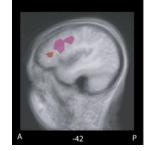
Movement



Expectation: the Complexity and Movement factors would dissociate anatomically

Adaptation to Movement and Embedding

Adaptation to Movement



Imaging syntactic movement: an fMRI with parameterized distance

(12) <u>Movement</u>

a. The mailman and the mother of Jim love the woman who *Kate* burnt < ...NP... NP...NP... The woman...*NP*... <

b. The mother of Jim loves the woman who the mailman and Kate burnt

...NP... NP....The woman...*NP*... *NP*... *•* 

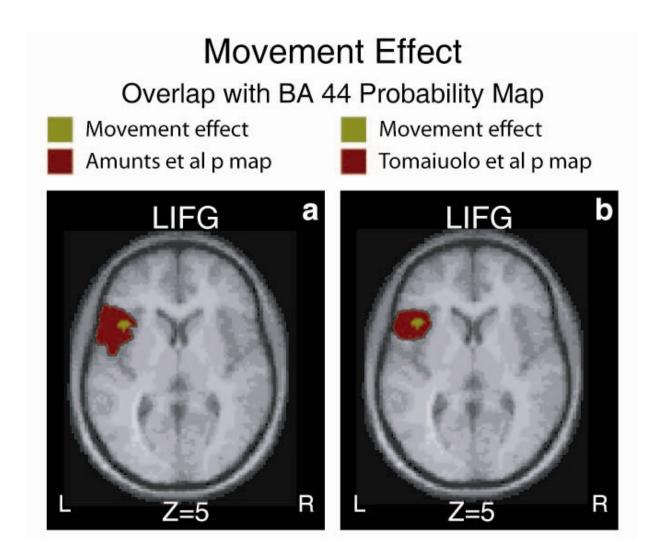
C. Kate loves the woman who the mailman and the mother of Jim burnt

.... NP....The woman....*NP*....*NP*....

(13) <u>Binding</u>

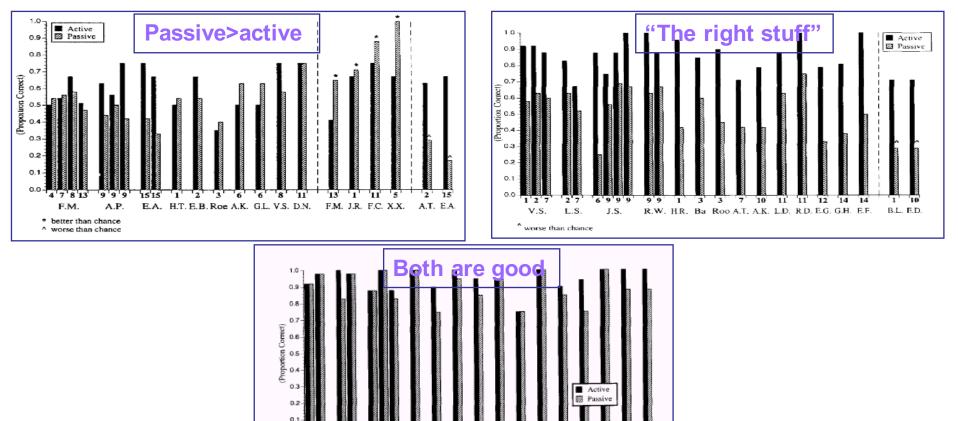
a. The sister of Kim assumes that Anne loves the mailman who burnt himself
...NP...NP...NP... the mailman ... himself
b. The sister of Kim assumes that the mailman who loves Anne burnt himself
...NP...NP... the mailman ...NP... himself
c. Anne assumes that the mailman who loves the sister of Kim burnt himself
...NP... the mailman ...NP... himself
...NP... the mailman ...NP... himself

Applications of p-maps: localization of activation clusters in fMRI



# A Reality Check: Huge Individual Variation active vs. passive





NB: Histograms represent proportion correct

R.S. Berndt et al. / Cognition 3 196) 289-308

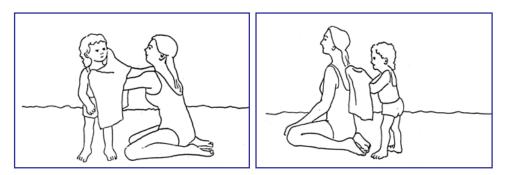
Dij He Koe La Oo Po Poe Zo R.W. E.M. A.B. C.D.

M.É.

P.J.

N.B.

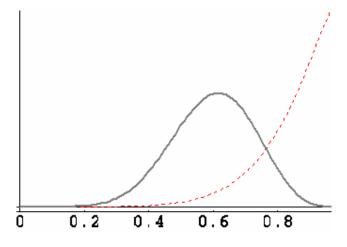
<u>The concept of chance level performance</u>: When we talk about chance, we expect...



(9) a. active: The girl dried the woman
 b. Passive: The girl was dried < by the woman</li>
 ch

above chance chance

...unimodal distributions, with means right down the middle





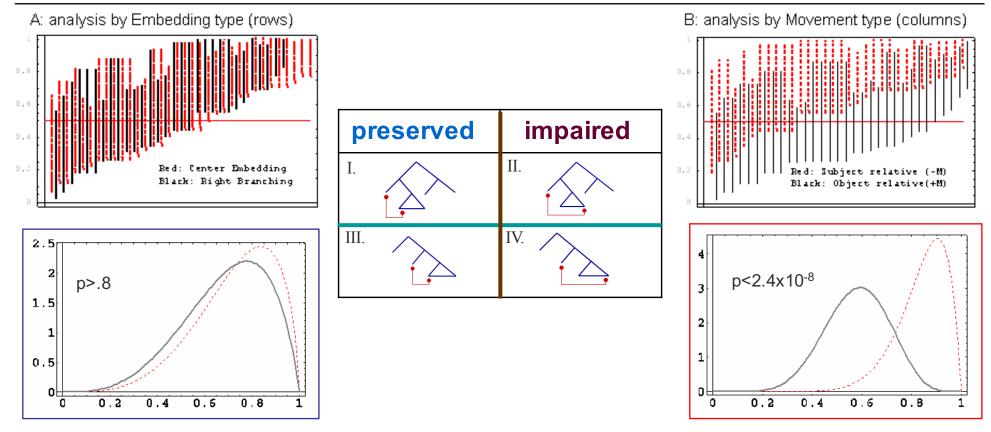


# I. measuring "boundless variation" that blurs our vision



Dan Drai's large-scale outlook on relative clause comprehension [n=32]

Each individual score is represented as a p<.01 Confidence Interval on a binome</li>
A factorial design



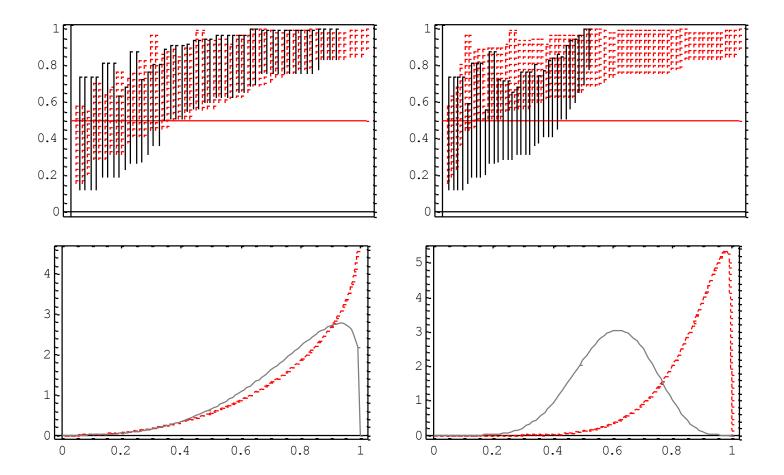
# Active/Passive vs. Movement

Movem	ent
-------	-----

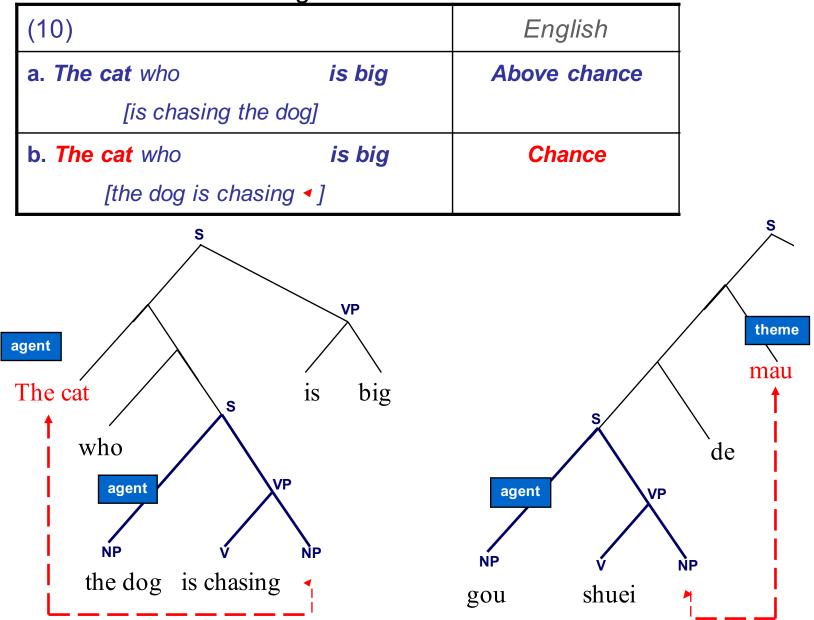
	111	—М	+ <i>M</i>				
Mood	ACTIVE	a. <b>Ha-xayal metzayer 'et ha-rofe ha-ze</b> The soldier is drawing this doctor	b. <b>'Et ha-rofe ha-ze ha-chayal metzayer</b>				
	PASSIVE	c. <b>door het meisje wordt de jongen <gekust< b=""> by the girl was The boy kissed</gekust<></b>	d. The boy was seen by the girl				



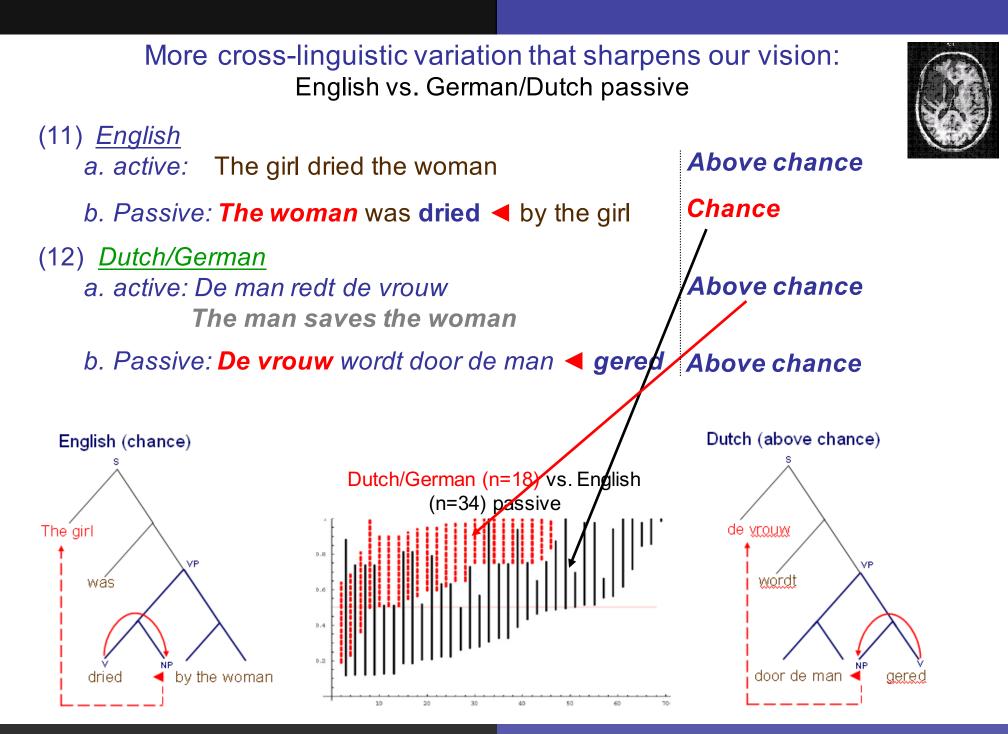
#### +Movement vs. -Movement



II. Cross-linguistic variation that sharpens our vision: English vs. Chinese relative clauses

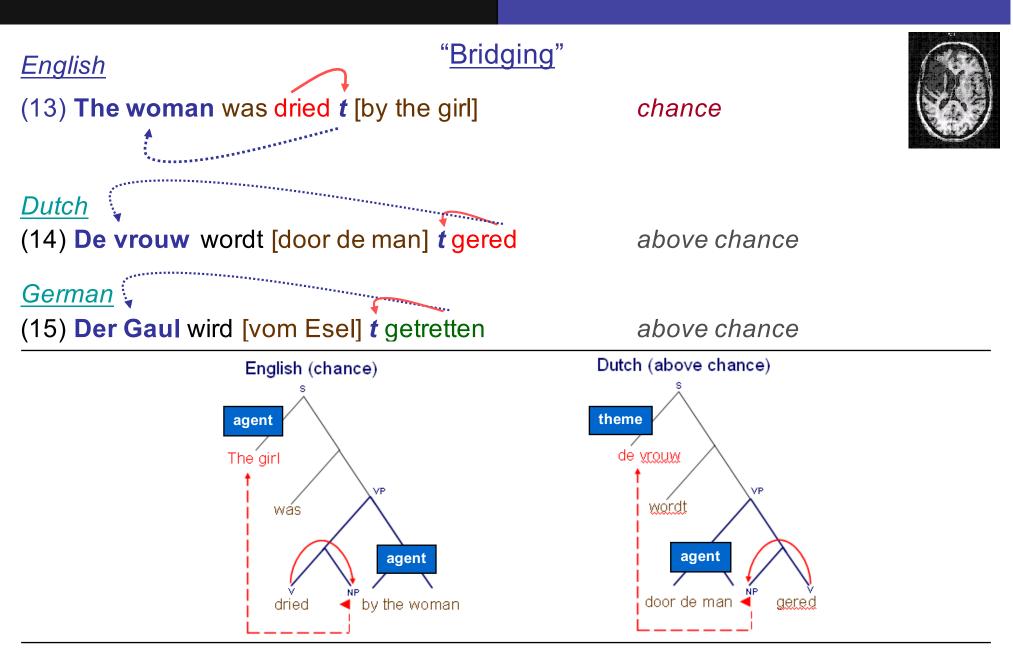






Ca'Foscari, October 2016

Kolk, Cog. Neuro. 1985; Friederici & Graetz, Br. Lang., 1987; Drai & Grodzinsky, Br. Lang., 2006b 57/66



**NB** This analysis predicts comprehension failure only if the moved element crosses its ()-assigner. This has consequences to ()-assignment to VP-internal subjects.



(16) <u>Cliticized Direct Objects in transitive sentences</u>

a. Mario cerca Flora	b. Mario <b>la</b> i cerca <b>t</b> i
Mario seeks Flora	Mario <i>her</i> seeks <i>t</i>
SVO	S cl <sub>i</sub> V t <sub>i</sub>

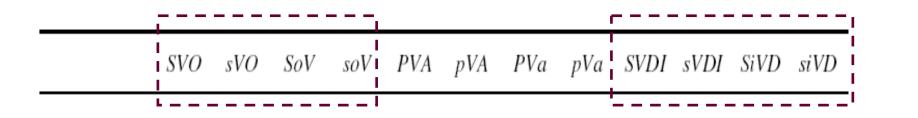
## (17) Cliticized Indirect Objects in ditransitive sentences

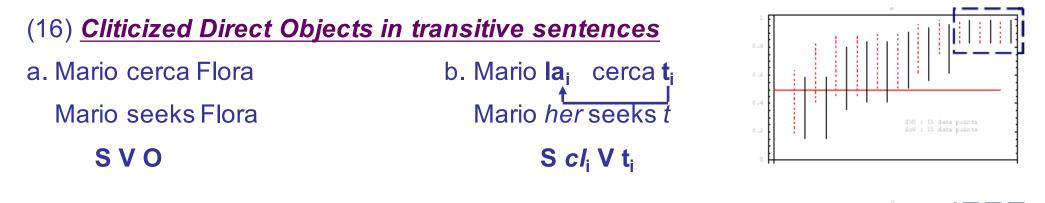
a. Mario da un regalo a Flora Mario gives a present to Flora S V DO IO b. Mario le<sub>i</sub> da un regalo t<sub>i</sub> Mario *her* gives a present *t* S *cl*<sub>IO</sub> V DO t<sub>IO</sub>

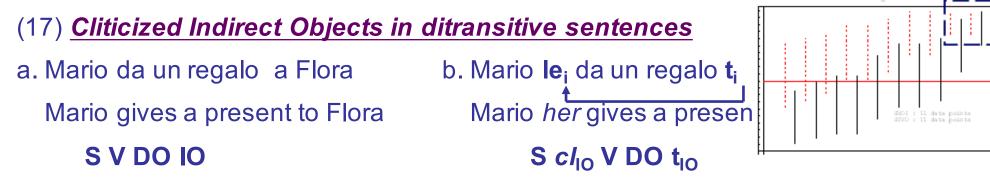
Raw patient scores (# correct out of 10 trials)

				·					<u> </u>
	SVO	sVO	SoV	soV	1	SVDI	sVDI S	iVD	siVD
	i			İ	<u>_</u>				'
A.D.	8	6	6	7		7	5	1	4
D.R.	5	3	5	2		8	3	2	2
L.Z.	7	10	8	9		8	8	4	7
M.B.	10	9	8	8		8	7	6	5
M.G.	7	6	8	5		8	7	6	3
P.Gh.	8	7	9	6		10	10	5	7
P.Gi.	7	7	7	5		9	9	5	1
R.O.	8	7	2	5		5	8	1	5
-	· · · · · · · · · · · · · · · · · · ·	· !				· · ·			

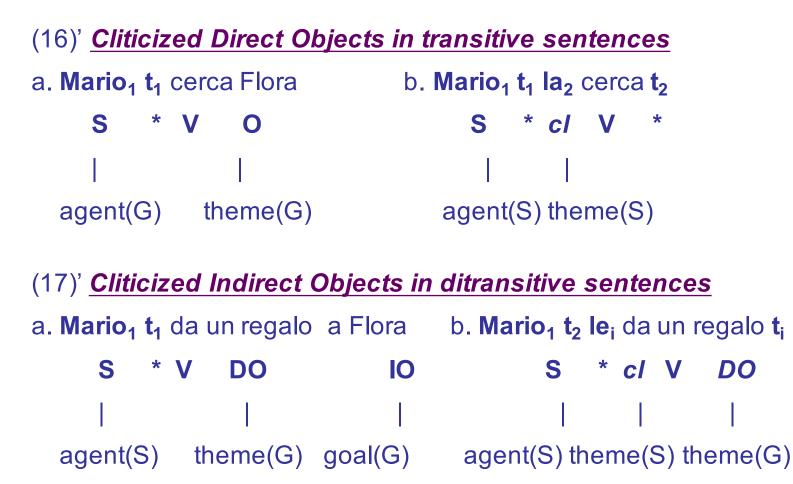
#### **Results**



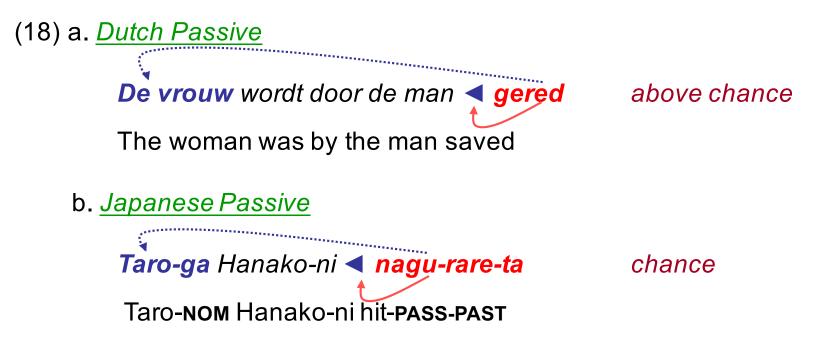




#### Can these asymmetries be deduced from the TDH?

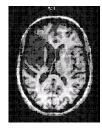


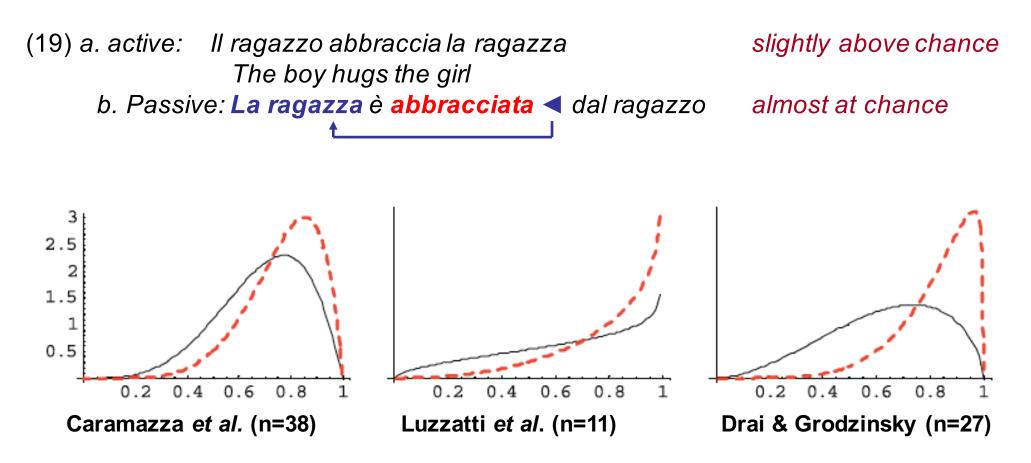
**NB** - Chance performance in (17b) is derived via a theme-theme conflict that brings about a thematically incoherent representation, but it all seems to work only if we drop the "bridging" assumption 2. Another Complication from Japanese and Korean: An unexpected difference within SOV Languages



<u>2 cross-linguistic differences that may be relevant:</u> I. Unlike Dutch/German, Japanese has no auxiliary in passive II. *-ni* is a Dative case, and is not exactly like *by, door* or *vom*.

# 3. A quantitative mystery: Murky Italian Contrasts





## extensions

# Generality

- I. Can we find independent evidence for this pattern of selectivity?
- II. Do all patients perform in the same way?
- III. Is the deficit equally manifested in languages other than English, and if so, how do we think about it?
- IV. What are there implications to the normal brain?

# Specificity

- V. What are the bounds of the pattern of selectivity?
- VI. Do only Broca's patients exhibit this pattern?
- VII. Is there a regular relation between lesion and performance type?

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